**ANNEX 1**

FACSIMILE of single procurement document

ISSUED ALSO IN ACCORDANCE WITH ARTS. 46 AND 47 OF ITALIAN PRESIDENTIAL DECREE NO. 445/2000

**Consip S.p.A.**

Via Isonzo, 19/E

00198 ROME

NEGOTIATED PROCEDURE UNDER ART. 63, LEGISLATIVE DECREE n° 50/2016 for “ICAP IR Derivatives Real Time for Italian Treasury Department”

document FOR THE participation in the PROCEDURE including the statements compliant WITH ARTS. 46, 47 and 76 OF the ITALIAN PRESIDENTIAL DECREE N° 445/2000

The undersigned \_\_\_\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_\_\_ on \_\_\_\_\_\_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, with business address at the company office indicated below, in his/her capacity as \_\_\_\_\_\_\_\_\_\_ and legal representative vested with the powers necessary to commit \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ in this procedure, with registered office in \_\_\_\_\_\_\_\_\_\_\_\_\_\_, (Street/Road) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, listed on the Business/Companies’ Register of \_\_\_\_\_\_\_\_\_\_\_\_\_ under no. \_\_\_\_\_\_\_\_\_\_, postcode n°. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, hereinafter referred to as the “**Company**”

Pursuant to art. 76 of the Italian Presidential Decree n° 445/2000, is aware of the civil and criminal liabilities and consequences laid down in case of false statements and/or creation or use of false documents and/or in case of submission of documents containing data which no longer reflect the truth. In addition, if the content of this declaration should results to be false then the Company that drafted this document will lose the benefits for which it is issued; for the purposes of participating in this initiative

HEREBY DECLARES, TAKING FULL RESPONSIBILITY FOR SO DOING

1. that this Company, has been listed since \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, to the **Business/Companies Register** of \_\_\_\_\_\_\_\_\_, under number \_\_\_\_\_\_\_\_\_\_\_\_\_, and

* falls within the category of **Micro, Small and Medium-Sized** Businesses according to the parameters set by European Commission recommendation 2003/361/EC of 06 May 2003

***Or***

- does not fall within the category of Micro, Small and Medium-Sized Enterprises according to the parameters set by European Commission recommendation 2003/361/EC of 06 May 2003;

1. that this Company has (*complete the relevant field only, cancel the once that are not associated with the company*):
   1. The administration is assigned to a **Sole Director**:

name*\_\_\_\_\_\_\_\_\_\_* surname\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_\_\_, on \_\_\_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_, with the following powers associated with the office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_;

b)The administration is assigned to an **Board** **of Directors** composed of n.\_\_ members and, in particular, of: (*indicate the data of all the Directors, specifying whether or not they are entitled to act as a legal representative*)

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_ (*Chairman of the Administrative Board, Managing Director, Director etc.*), appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_, with the following powers associated with the office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_;

b1) There are the following (**Representatives/Agents** **)**

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_, with the following powers associated with the office:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ;

*or*

does not have **Representatives/Agents**

b2) There are the following **legal representatives vested with the broadest decision-making powers**

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_, with the following powers associated with the office:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ;

*or*

there are no **legal representatives vested with the broadest decision-making powers**

c) (*fill only if present*) **Board of Auditors** composed of \_\_ members and, in particular, of: (indicate all members)

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, (*eg. Chairman of the Board of Auditors, Acting Auditor, Deputy Auditor*) appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_;

c1) (*fill in only if there is an alternative to the statement mentioned in the previous paragraph letter c*) The **Internal Control Committee** composed of \_\_ members and, in particular, of:

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, (*eg. Chairman of the Internal Control Committee Auditors, Acting Auditor, Deputy Auditor*) appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_,

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_,

d) (*fill in only if there is an alternative to the statement mentioned in the previous paragraph letter b e c) or c1)* **Management Board Committee** composed of \_\_ members and, in particular, of (*indicate the references of all the members*):

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, (*eg. management board comittee, director*) appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_, with the following powers associated with the office:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ;

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, (*ex. Chairman of the board of directors, director*) appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_, with the following powers associated with the office: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ;

**Supervisory Board** composed of \_\_ members and, in particular, of (*indicate the references of all the members*):

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, (ex. chairman of the supervisory board, director), appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_;

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, office\_\_\_\_\_\_\_\_, (ex. chairman of the supervisory board, director), appointed on \_\_\_\_\_\_\_ until \_\_\_\_\_\_;

e) (*fill only if present*) **Other subjects vested with powers of representation, management or control over the company** (such as the Auditor and other management boards, in charge of monitoring the operation and the compliance of the organizational and management models for crime prevention purposes), according to art. 80, paragraph 3, Legislative Decree n° 50/2016:

(*indicate the data and repeat as many times as necessary*)

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, role\_\_\_\_\_\_\_\_, appointed on \_\_\_\_\_\_\_ (if applicable up to \_\_\_\_\_\_), with the following powers associated with the office:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ;

name\_\_\_\_\_\_, surname\_\_\_\_\_\_\_\_\_, born in \_\_\_\_\_\_\_, on \_\_\_\_\_\_\_, Tax Code \_\_\_\_\_\_\_\_\_\_\_, residing in \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, role\_\_\_\_\_\_\_\_, appointed on \_\_\_\_\_\_\_ (if applicable up to \_\_\_\_\_\_), with the following powers associated with the office:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ;

f) there are **Technical Directors**:

*(indicate names, personal data, tax code, residence, duration of the role)*

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*or*

there are no Technical Directors

g) (*fill only if present*) the sole shareholder or majority shareholder in case of companies with fewer than four shareholders is:

*- \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(in case of a single member or a majority individuals, indicate personal data, tax code, residence of the person/s) \_\_\_\_\_\_\_\_\_\_\_\_,*

*- \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* *in case of the majority shareholder or sole shareholder, indicate the tax code, the residence of directors with powers of representation (eg. Managing Director, Delegate, Councilor with representative powers etc.))* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

h) the following individuals, which have ceased holding the office mentioned above from letter a) to g) (***modify according to needs****)* within the year preceding the sending date of the request for proposal and up to the date of submission of the proposal *(****indicate names, personal data, tax code, residence, duration of the job position)***

* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

***or,***

* there are no individuals, which have ceased holding the office mentioned above within the year preceding the sending date of the request for proposal and up to the date of submission of the proposal;

i) in the year preceding the sending date of the request for proposal and until the date of submission of the proposal, has acquired the company \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(*or* a branch), after **a corporate transaction of** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(**sale /lease of a company or of its branch of business, incorporation or merger**) occurred on \_\_\_\_\_\_\_\_\_\_ and valid from \_\_\_\_\_\_\_\_\_\_\_\_ . *(the competing company shall indicate the transaction date, the date of the effectiveness of the transaction, the companies involved)*

and,by virtue of the aforementioned transaction, the following persons from the assignor/lessor, merged or incorporated company must be considered individuals, which have ceased holding the office mentioned above within the year preceding the sending date of the request for proposal and up to the date of submission of the proposal:

*(the competing Company - with reference to the persons who have worked with the assignor/lessor, incorporated or merged companies – shall indicate the name, personal details, tax codes and offices. Offices relevant to this declaration are those referred to in paragraph 2 above, from letter a) to h))*

\_\_\_\_\_\_\_\_\_\_\_\_(*nominative*) \_\_\_\_\_\_\_\_ (*Personal Data) \_\_\_\_\_\_\_\_\_\_\_\_\_\_(Role)*

\_\_\_\_\_\_\_\_\_\_\_\_(*nominative*) \_\_\_\_\_\_\_\_ (*Personal Data) \_\_\_\_\_\_\_\_\_\_\_\_\_\_(Role)*

*or*

that in the year prior to the date of dispatch of the request for proposal (RDO) and in any case until the date of submission of the offer, no sale / lease of business or branch of business, incorporation or merger has taken place;

m) there are the following following registered shareholders with their **relative market shares**, as listed below:

……………….. … %

……………….. … %

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

total 100 %

n) that the information in the shareholders register, as well as the communications received from the holders of said shareholdings, has shown the following real rights of use or security interests over shares/units granting voting rights :

\_\_\_\_\_\_\_\_\_\_ in favour of \_\_\_\_\_\_\_\_\_\_,

\_\_\_\_\_\_\_\_\_\_ in favour of \_\_\_\_\_\_\_\_\_\_,

*(or)*

that there are no real rights of use or security interests over shares/units granting voting rights ;

o) that in the shareholders meetings during the previous financial year preceding the date of this declaration, the following persons exercised their voting right by virtue of an irrevocable power of attorney, or at least were entitled to do so:

\_\_\_\_\_\_\_\_\_\_\_ on behalf of \_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_ on behalf of \_\_\_\_\_\_\_\_\_\_\_

(or)

that no voting right was exercised by virtue of an irrevocable power of attorney or an equivalent title;

1. that the undersigned has been fully acquainted with the documentation of this procedure and acknowledges and accepts the rules of the tender as well as those concerning the award and execution of the Contract, and to oblige, and agrees to observe them;
2. that the undersigned has been fully acquainted with the documentation of this procedure and that the goods and services offered meet all the minimum requirements indicate;
3. the Company does not fall in any of the exclusion reasons referenced in art. 80 of Legislative Decree 50/2016 and referred to in art. 57 of the 2014/24 Directive

**\*\*\***

* + - 1. **Reasons for criminal conviction under Article 80, paragraph 1, of Legislative Decree no. n. 50/2016.**

Have individuals holding offices referenced in in art. 80, paragraph 3, of Legislative Decree no. 50/2016 and listed above:

* were convicted for the offenses listed in art. 80, paragraph 1, lett. (a) to (g) of the aforesaid decree, by a final judgement or a penalty order that has become irrevocable or a judgement imposing the penalty requested pursuant to article 444 of the Italian Code of Criminal Procedure, issued no more than five years ago or, irrespective of the date, in which an exclusion period set forth in the judgment is still applicable?  **YES □ NO □**

**If yes**, provide the copy of the conviction provision and also provide:

1. the date of the conviction, the sentence of conviction or the sentence of application of the punishment, its duration and the offense committed as referred to in Article 80 (1) – letter (a) to (g) of Legislative Decree n° 50/2016 and the reasons for the conviction;
2. identification data of convicted persons;
3. if in the sentence of conviction has been applied the additional penalty of the inability to contract with the Public Administration, indicate the duration of the exclusion period; \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. **if relevant**, indicate the measures demonstrating the complete and effective dissociation from the sanctioned conduct referred to in art. 80 paragraph 3

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* In case of conviction, has the company taken sufficient measures to demonstrate its reliability despite the existence of a relevant exclusion (self-discipline or self-cleaning) (Article 80, paragraph 7, Legislative Decree no. No. 50/2016) **YES □ NO □**

*If yes*, describe the measures taken, specifying in particular:

1) whether the sentence of final conviction recognized the mitigating effect of the collaboration with the justice system as defined by the individual offenses\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **YES □ NO □**

2) the final sentence does not exceed 18 months; **YES □ NO □**

3) if, in both hypotheses 1) and 2) above identified, the subjects that carry the roles referred to in art. 80, paragraph 3 of Legislative Decree no. 50/2016 listed first:

* have fully compensated the damage  **YES □ NO □**
* are formally engaged to compensate the damage **YES □ NO □**

4) if, in both cases 1) and 2) the Company has adopted technical or organizational measures and relating suitable to prevent further offenses\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

5) if convictions have been issued against the subject referred to in art. 80 paragraph 3, indicate the reasons that demonstrate the complete and effective dissociation from the sanctioned conduct. *(All documentation must be produced, including conviction measures, and concrete technical, organizational and personnel measures appropriate to prevent further offenses or misdemeanours and useful for the purposes of the assessment of the Contracting Authority referred to in art. 80 paragraph 8, legislative decree n° 50/2016)*

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**B. Payment of taxes, fees or social security contributions** (Article 80, paragraph 4 of Legislative Decree 50/2016):

Has the Company satisfied all the obligations concerning the payment of taxes, duties or social security contributions, both in the country where it is established and in the Member State of the awarding administration or the awarding entity, if different from the country of establishment?

**YES □ NO □**

**If not**, please indicate:

1. Country or Member State concerned \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. What is the amount concerned \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. how this non-compliance was established:
4. by a judicial or administrative decision:

Is this decision is final and binding? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Indicate the date of the sentence of conviction or decision \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

In case o f a penalty verdict, indicate the period of the exclusion: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Other method**? Specify \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. the Company has fulfilled or is fulfilling its obligations by paying duties or social security contributions due, including any interest or fines, having paid or formalized the commitment before expiry of the deadline for submitting the application (art. 80 paragraph 4, last term, of Legislative Decree.. 50/2016).

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**C. Information on any situations of insolvency, conflict of interest or professional misconduct**

1) Has the economic operator **breached**, the applicable obligations concerning health and safety at the workplace, environmental, social and employment law pursuant to paragraph 5a) of article 80 of the Legislative Decree. 50/2016? **YES □ NO □**

**If yes**, the economic operator has taken sufficient measures to demonstrate its reliability despite the existence of a relevant exclusion (self-discipline or self-cleaning) (Article 80, paragraph 7, Legislative Decree 50/2016)? **YES □ NO □**

**If yes**, the company has:

- fully compensated the damage **YES □ NO □**

- formally engaged in compensating the damages? **YES □ NO □**

2) Has the company adopted technical characteristics or organizational measures to prevent the employee to commit illegal acts?

(*Produce all the technical, organizational and personnel documentation and measures that are suitable for the prevention of further crimes or misdemeanours and useful for the evaluation of the Contracting Authority referred to in art. 80, para 8, Legislative Decree* *50/2016*)

3) Is the economic operator in one of the following situations or subject to a procedure for determining one of the following situations:

1. **Bankruptcy** **YES □ NO □**

**If yes**, was the receiver authorized to operate on a provisional basis and authorized by the appointed judge (or other relevant authority – according to local rules) to participate in procedures for the awarding of public contracts (paragraph 3a) of article 110 of Legislative Decree 50/2016)

1. **Insolvency or winding-up proceedings** **YES □ NO □**
2. **Arrangement with creditors** and/or other **Business Continuity agreement** **YES □ NO □**

**If yes**, was it authorized by the appointed judge (or other relevant authority – according to local rules) (paragraph 3a) of article 110 of the Legislative Decree 50/2016)?

*(Show all relevant documents)*

4) Was the Company **guilty of serious professional misconduct** pursuant to paragraph 5c) of art. 80 of the Legislative Decree. 50/2016? **YES □ NO □**

**If Yes**, provide detailed information, specifying the type of offense and any measure of self-discipline and, in particular, specify whether the Company has:

* Fully compensate the damage? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* Formally engaged in compensating the damages? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
* It has adopted technical or organizational measures relating to staff that prevent further illicit or offenses\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

*(Provide all the technical, organizational and personnel documentation and measures that are appropriate to prevent further offenses or misdemeanors and are useful for the assessment of the Contracting Authority referred to in Article 80 (8) of Legislative Decree 50 / 2016).*

5) Is the Company aware of any conflict of interest (linked to participation in the present procedure (according to paragraph 5d) of article 80 of the Legislative Decree. 50/2016)? **YES □ NO □**

***If yes****, provide detailed information on how the conflict of interest has been resolved and, in the event of conflict of interest, which cannot be otherwise solved, all the documentation useful for the assessment of the Settlement Station referred to in Article 80 8, Legislative Decree No. 50/2016*

6) Has the Company (or an associated company) **provided** consultancy to the awarding authority or the awarding individual(s) or otherwise participated in preparing the awarding procedure (according to paragraph 5e) of article 80 of the Legislative Decree. 50/2016)? **YES □ NO □**

***If yes****, provide detailed information on the measures taken to prevent possible distortions of competition: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*

7) Can the Company confirm that:

* **It has not been found guilty of false declarations**, when asked to provide information to verify the absence and the motive of exclusions **YES □ NO □**
* **has not concealed any of the aforementioned information YES □ NO □**

**D. Reasons for exclusion listed in Article 80,** 2 e 5, lett. f), g), h), i), l), m) of Legislative Decree n. 50/2016.

Does any proof of exclusion, suspension or prohibition in accordance with the Article 67 of Legislative Decree 6 September 2011, n° 159, exist for the individuals referred to in art. 80, paragraph 3, of the legislative decree n. 50/2016? **YES □ NO □**

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Has the Company been in any of the following situations:

1. Has the company been subject to a penalty of disqualification as provided in Art. 9 paragraph 2 letter c) of Legislative Decree no. 231/2001 or other sanction involving the prohibition of contracting with the public administration, including the interdictions referred to in Art 14 of Legislative Decree no. 81/2008 (According to art 80, paragraph 5, letter f) of the Legislative Decree 50/2016)?); **YES □ NO □**
2. Has the Company submitted, within the current tendering procedure and in related subcontracts documents, any false documents or attestations (Article 80 (5) (f bis))?

**YES □ NO □**

1. Is the Company registered in the electronic records kept by the **ANAC Observatory** as having made false statements or having submitted false documentation within the fold of tendering procedures and subcontracts (Article 80 (5) (f ter))? **YES □ NO □**
2. Is the Company registered in the electronic records kept by the ANAC Observatory as having made false statements or having submitted false documentation for the purpose of issuing the qualification certificate (Article 80, paragraph 5, g); **YES □ NO □**
3. Did the Company violate the prohibition of fiduciary registration referenced in Article 17 of Law 19 March 1990, no. 55 *(The exclusion is for a period of one year from the final determination of the violation and must be made if the breach has not been removed (Article 80 (5) (h)*); **YES □ NO □**
4. Is the Company in compliance with the rules concerning **the right to work of people with disabilities** as stipulated in Law 12 March 1999, no. 68**,** or the relevant local law ruling the matter (according to art 80, paragraph 5, letter i) of the Legislative Decree 50/2016)?

**YES □ NO □ NA □**

*If N/A (not applicable), provide detailed information*

1. Was the Company **victim of the criminal offenses** envisaged and punished by Articles 317 and 629 of the Criminal Code aggravated under Article 7 of Decree-Law of 13 May 1991, no. 152, converted, with modifications, by Law no. 203 (according to art 80, paragraph 5, letter l) of the Legislative Decree 50/2016)? **YES □ NO □**

*If YES, indicate whether:*

1. *the facts were reported to the judicial authorities*
2. *the cases provided for in paragraph 1 of article 4 of Law 24 November 1981 no. 689 apply*

*(note: The circumstance in the first period must emerge from the indications based on the request for referral made against the defendant in the year prior to the date of the submission of the bid proposal and must be communicated attached with the generality of the subject who has omitted this complaint from the prosecutor of the Republic to ANAC, which carries out the publication of the notice on the Observatory site).*

*If so, in relation to the cases referred to in Article 80 (5) (f), (m) of the Code provide detailed information on the ways in which self-discipline or self-cleaning measures have been taken and any useful documentation for the evaluation of the Procurement Station referred to in art. 80 subsection 8, legislative decree 50/2016.*

**E.** That the prohibition pursuant **to paragraph 16-*ter* of art. 53 of Italian Legislative Decree no. 165/2001** **does not apply** to this Company and that the Company insofar has not stipulated subordinate or independent contracts and, in any event, assigned tasks to former employees of Consip and/or of the contracting authority and/or to the individuals who held one of the offices listed in the Legislative Decree no. 39/2013, who ended their working relationship within the last three years and who, within the last three-year period of service, exercised official or negotiating powers on behalf of the said contracting authority vis-à-vis the Company itself.

**F.** That the Company is in possession of the requirements of professional technical suitability referenced in art. 26, paragraph 1, lett. a) of Legislative Decree 81/08 for the execution of contractual activities >;

**G.** That the undersigned has been informed, pursuant to and in accordance with art. 13 of Legislative Decree no. 196/2003, that the personal data collected will be processed, also by means of IT tools, solely within the context of the procedure for which this declaration has been issued. The undersigned also confirms to accept any additional requests concerning personal data collection enclosed in the Request for Proposal;

**H.** That the Company has become fully acquainted with the "**Integrity Agreement**" attached to the request for proposal, accepting the terms and conditions and commits to comply with its requirements during the procedure and to sign it in conjunction with the Contract;

**I.** That the above information is truthful and correct and that the undersigned is aware of the consequences of a false statement pursuant to art. 76 of DPR 445/2000;

**J.** 28. That the undersigned is aware that Consip reserves the right to conduct random checks to ensure the accuracy of the present declaration;

**K.** that the undersigned is aware that, should the content of this declaration be found to be false, Sogei may terminate the Contract automatically in accordance with art. 1456 of the Italian Civil Code and, potentially, retain the final deposit (if present).

\_\_\_\_\_\_, on\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_