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Sent by e-mail

FAO

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**Subject: Negotiated procedure pursuant to art. 63, co. 2 lett. b), of Legislative Decree no. 50/2016, aimed at stipulating a contract for Service of analytical calculation and reporting Bloomberg MARS CIG 87350062FC
Request for Proposal**

By means of a determination to contract signed on April 28, 2021 Consip S.p.A. single-member joint stock company (hereinafter also Consip) on behalf of Sogei S.p.A. (hereinafter also Customer), has resolved to entrust the service of analytical calculation and reporting Bloomberg MARS.

By means of an Agreement concluded on 12 April 2013, Sogei S.p.A. has entrusted Consip S.p.A. to perform the activities related to the procurement process for the acquisition of goods and services, also including any ancillary and instrumental activities.

The contract scheme is attached for the present acquisition.

The supplier has declared, with notice of 8/4/2021, that it possesses exclusive rights for the services that are relevant to the Contract in question.

In this Request of Proposals, Consip S.p.A. intends to award the Contract – the subject of which is:

- a. MARS COLLATERAL ADVANCED –BCOL for 29 months
- b. MARS VALUATIONS for 29 months

By signing the Contract, the successful company is undertaking to provide the good(s) and/or service(s) referred to above. The Contract will be entered into by Sogei S.p.A .

The Contract will not contain an arbitration clause.

Consip S.p.A., single-member joint stock company

Registered Office: Via Isonzo 19/E – 00198 Rome

T +39 06 85449.1 – F +39 06 85449 281 – www.consip.it

Fully paid-in share capital of €5,200,000.00 Tax Code and VAT no. 05359681003

Listed on the Companies' Register at the Chamber of Commerce, Industry, Trades and Agriculture of Rome under no. 05359681003 - REA (Economic and Administrative Index) no. 878407

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In accordance with art. 31 of Italian Legislative Decree no. 50 of 18 April 2016, Ms. Lucia Magliocco is appointed as the person responsible for the procedure.

The person in charge identified pursuant to art. 1 of the law decree n. 76/2020, converted with amendments by law no. 120/2020, for the purposes of the provisions of the law itself, is the Area Acquisti Sotto Soglia -Sourcing Operation Division Manager, who in compliance with the powers currently assigned to him, validates and approves the various procedural steps.

The Supplier may not claim any right to other compensations, adjustments or increases of the fees agreed upon in contract, except as provided for by art. 106 of Legislative Decree 50/2016 for recurring and continuous contracts.

In light of the considerations above, the company is therefore required to send to Consip S.p.A., by May 6, 2021, its Bid Declaration, complete with all the documentation indicated hereinafter to the e-mail address: lucia.magliocco@consip.it.

The e-mail containing the proposal must include the following:

- a) the **Bid declaration**, which, in accordance with the facsimile attached to this Request for Proposal, must specify the maximum overall contractual amount and all fixed and variable payments, which must not exceed the maximum amount of \$ 165.875 (one hundred sixty five thousand eight hundred seventy five/00) In addition to indicating the maximum overall contractual amount, the Bid must specify the individual payments which comprise this and, in particular, those specified in the attached Bid Declaration facsimile. This must be submitted in electronic format, complete with the digital signature of the legal representative of the company, or, in any event, a party vested with proven power of attorney.
- b) A **declaration** providing the identification details of the bank account (s) attached (s) to this contract, as well as the general (name and surname) and the Tax Code of the persons delegated to operate on said account (s) in compliance with the provisions of Article 3, paragraph 7 of Law No.136 / 2010 (Supplier Data sheet and declaration on the traceability of financial flows).

According to art. 83, paragraph 9 of Legislative Decree no. 50/2016, any formal deficiencies in the submission can be remedied through the "*soccorso istruttorio*" procedure.

The essential irregularity can be remedied where it is not accompanied by a substantial lack of the requirement to demonstrate the omitted or irregularly produced documentation was aimed. The subsequent document correction or integration is allowed where it allows to certify the existence of

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pre-existing circumstances, that is to say the requirements for participation and documents / elements accompanying the offer. Specifically, the following rules apply:

- failure to meet the prescribed participation requirements cannot be remedied through “*soccorso istruttorio*” procedure and results in exclusion from the tender procedure;
- the omitted or incomplete as well as irregular submission of the declarations on the possession of the participation requirements and any other lack, incompleteness or irregularity of the declaration on the requirements and of the application, including the lack of signature, can be remedied, with the exception of false declarations;
- the failure to produce the declaration of use or the contract of use can be subject to “*soccorso istruttorio*” procedure only if the aforementioned elements were pre-existing and can be proven with documents of certain date prior to the deadline for submitting the offer;
- failure to submit elements accompanying the offer (e.g. provisional guarantee and guarantor's commitment) or conditions for participation in the tender (e.g. special collective mandate or commitment to confer collective mandate), both having relevance in the tender phase, are remediable, only if pre-existing and verifiable with documents of certain date, prior to the deadline for submitting the offer;
- the presentation of guarantees by unauthorized guarantors can be remedied;
- failure to submit declarations and / or elements accompanying the offer, which are relevant in the executive phase (eg declaration of the parts of the service / supply pursuant to Article 48, paragraph 4 of the Code) are remediable.

For the purposes of the amnesty, the contracting authority assigns the bidder an appropriate term - not exceeding ten days - for the necessary declarations to be made, integrated or regularized, indicating the content and the subjects who must make them. If the tenderer produces declarations or documents that are not perfectly consistent with the request, the contracting authority may ask for further details or clarifications, setting a peremptory term under penalty of exclusion. In the event of an unnecessary expiry of the term, the contracting authority proceeds to exclude the competitor from the procedure.

Apart from the hypotheses referred to in Article 83, paragraph 9, of the Code, the contracting authority has the right to invite, if necessary, the bidders to provide clarifications regarding the content of the certificates, documents and declarations presented, with the right to assign to such the end of a peremptory term, within which competing companies must submit what is requested, under penalty of exclusion from the procedure.



A specific communication will be sent to the Supplier with the winning offer, which will require the successful tenderer to send to Consip S.p.A.:

- **within ten (10) solar days after the receipt of this communication**, the following documentation:
 - an appropriate document proving the provision of a **definitive guarantee**, in order to ensure the fulfillment of all the obligations agreed upon in the contract, the amount of which is in accordance with the discipline provided by art. 103 of Legislative Decree no. 50/2016.

For the purposes of entering into the Contract, the successful tenderer must therefore pay, pursuant to art. 103 of Legislative Decree no. 50/2016, a definitive guarantee, in the form of a security or surety, equal to 10% of the contractual amount in favor of Sogei S.p.A./Administration. In the event of an award with discounts of more than ten per cent, the guarantee to be set up is increased by as many percentage points as those exceeding 10 per cent. Where the fall is greater than twenty percent, the increase is two percentage points for each bearish point greater than twenty percent.

The guarantee covers the fulfillment of all the obligations of the contract and the compensation for damages deriving from the possible breach of the obligations themselves, as well as the reimbursement of the sums paid more to the executor than the results of the final settlement, without prejudice, however, to the compensation of the greater damage to the contractor, any higher expenses incurred for the completion of the services in the event of termination of the contract ordered to the detriment of the executor, the payment of the amount due by the executor for the defaults deriving from the non-compliance with the rules and prescriptions of the contracts collective, laws and regulations on the protection, protection, insurance, assistance and physical safety of workers.

* * *

In compliance with the provisions of art. 8, paragraph 1, lett. a) of Law 120/2020, without prejudice to the right to proceed with early execution pursuant to art. 32, paragraph 8 of Legislative Decree. 50/2016.

Failure to sign the contract within the deadline referred to in art. 32, paragraph 8, as amended by D.L. 76/2020, if attributable to the economic operator, constitutes cause for exclusion of the operator from the procedure.

It should be noted that upon signing the contract, the supplier will be required to pay stamp duty in favor of the Treasury (Italian State), following the instructions that Sogei will provide prior to the signing of the contractual documentation.



The provisions of art. 35 of Italian Legislative Decree no. 90 of 24 June 2014, as converted by Law no. 114 of 11 August 2014, shall apply.

* * *

DATA PROCESSING

Pursuant to art. 13 of Legislative Decree no. 196/2003 "Code regarding the protection of personal data" (hereinafter also the "Privacy Code") and pursuant to art. 13 of the EU Regulation n. 2016/679 on the protection of individuals with regard to the processing of personal data, as well as the free circulation of such data (hereinafter also "EU Regulation" or "GDPR"), Consip S.p.A. provides the following information on the processing of personal data.

Purpose of the treatment

In relation to the activities of respective competence carried out by Consip and the Client it should be noted that:

- the data provided by the competitors are collected and processed by Consip S.p.A. to verify the existence of the requisites required by law for the purposes of participation in the tender and, in particular, for the purpose of verifying the administrative and technical-economic capacities of these parties, as well as for the purpose of awarding, in fulfillment of specific legal obligations deriving from the legislation on public procurement and contracts;
- the data provided by the winning bidder are acquired by Consip and transferred to the Client for the purposes of drafting and signing the Contract, for the fulfillment of the legal obligations connected to it, as well as for the management and economic and administrative execution of the contract itself.

All the data acquired by Consip S.p.A. and by the Client may also be processed for study and statistical purposes in compliance with and the rules laid down in the EU Regulation.

Nature of the conferment

The Competitor is obliged to provide the data to Consip S.p.A., due to the legal obligations deriving from the legislation on public procurement and contracts. The refusal to provide the data requested by Consip S.p.A. could determine, depending on the case, the impossibility to admit the competitor to the participation in the competition or its exclusion from this or the expiry of the award, as well as the impossibility to stipulate the contract. The competitor is aware that the data supplied to Consip will be communicated, in the event of an award, to the Customer for the purposes related to the signing and execution of the contract and for the related legal obligations.

Sensitive and judicial data

As a rule, the data provided by the competitors and by the contractor are not classified as "sensitive" according to article 4, paragraph 1, letter d) of the Privacy Code, nor in the "particular categories of



personal data" of which art. 9 EU regulation. The processing of "judicial" data referred to in Article 4, paragraph 1, letter e) of the Privacy Code and "personal data relating to criminal convictions and offenses" pursuant to art. 10 EU Regulation, on the other hand, is limited to the sole purpose of evaluating the possession of the requisites and qualities required by the current applicable regulations for the purposes of participation in the tender and award.

Methods of data processing

Data processing will be carried out by Consip S.p.A. and by the Client in order to guarantee the necessary security and confidentiality and can be implemented using manual, paper, IT and telematic means suitable to process the data in compliance with the security measures set out in the Privacy Code and from the EU Regulation.

Scope of communication and dissemination of data

The data may be:

- treated by the personnel of Consip S.p.A. that takes care of the bidding process, from the staff of other offices of the same company that carry out activities related to it, as well as from the offices of the same company that deal with activities for study and statistical purposes;
- communicated to independent collaborators, professionals, consultants, who provide advice or assistance to Consip S.p.A. with regard to the bidding process, also for possible legal protection, or for sector studies or statistical purposes;
- communicated to any external parties, who are part of the awarding and testing commissions that will be established from time to time;
- communicated, subject to the conditions, to the Ministry of Economy and Finance or to another Public Administration for which Consip S.p.A. and the Client perform activities pursuant to the by-laws, with regard to the data provided by the winning bidder;
- communicated to other competitors who request access to the tender documents within the limits allowed under the law of 7 August 1990, n. 241;
- communicated to the National Anti-corruption Authority, in compliance with the provisions of the AVCP Determination n. 1 of 10/01/2008.

The name of the competitor awarded the tender and the contract award price may be disseminated via the websites **www.consip.it**, **www.acquistinretepa.it** and **www.mef.gov.it**. In addition, the information and data concerning the participation of the Competitor in the tender, within the limits and in application of the principles and provisions on public data and the re-use of public sector information (Legislative Decree 36/2006 and art. 52 and 68, paragraph 3, of Legislative Decree 82/2005 and subsequent amendments), may be used by the MEF and by Consip, each for its own responsibility, also in aggregate form, to be made available to other public administrations, natural and legal persons, including open data. In addition to the above, in compliance with the legal obligations that impose administrative transparency (Article 1, paragraph 16, letter b, and paragraph 32 of Law 190/2012, article

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35 of Legislative Decree No. 33/2012; as well as Article 29 of Legislative Decree No. 50/2016), the competitor / contracting party acknowledges and agrees that the data and documentation required by law to publish, be published and disseminated, using the conditions, via the website **www.consip.it**, section "Transparent Company" and the website of the Client **www.sogei.it** for the activities of their respective competences.

In fulfillment of legal obligations, the data could be transferred to an international organization.

Data retention period

The data retention period is 10 years from the definitive awarding to the contracting authority and from the conclusion of the contract execution for the Customer>. Furthermore, the data may be kept, even in aggregate form, for study or statistical purposes in compliance with articles 89 of the EU Regulation and 110 bis of the Privacy Code.

Automated decision-making process

There is no automated decision making process.

Rights of the interested party

"Interested" means any natural person whose data are transferred from the competitor to the contracting authority and through it to the Customer.

The interested party is granted the rights referred to in Article 7 of the Privacy Code and referred to in articles. from 15 to 22 of the EU Regulation. In particular, the interested party has: i) the right to obtain, at any time, confirmation that personal data concerning him is being processed; ii) the right of access to personal data to know: the purpose of the processing, the category of data processed, the recipients or categories of recipients to whom the data are or will be communicated, the retention period of the same or the criteria used to determine this period; iii) the right to request, and in the case to obtain, the correction and, where possible, the cancellation or, again, the limitation of the treatment and, finally, can oppose, for legitimate reasons, to their treatment; iv) the right to data portability that will be applicable within the limits of art. 20 of the EU regulation.

If in the event of exercise of the right of access and related rights provided for by art. 7 of the Privacy Code or by the articles from 15 to 22 of the EU Regulation, the response to the request does not arrive within the indicated time and / or is not satisfactory, the interested party can assert his rights before the judicial authority or by contacting the Guarantor for the protection of personal data through specific complaint, appeal or report.

Data controller and Data Protection Officer

Data controllers are, for the activities of their respective competences, Consip S.p.A. and Sogei S.p.a., with registered office respectively

- in Rome, Via Isonzo n. 19 / D-E e

- in Rome, Via Mario Carucci, 99 - 00143



For the exercise of the rights referred to in art. 7 of the Privacy Code and referred to in Articles from 15 to 23 of the EU Regulation and to request an updated list of data processors, companies can be contacted at the following addresses:

- Consip S.p.A.: esercizio.diritti.privacy@consip.it
- and Sogei S.p.a.: SOGEI S.p.a. - "Director of Security, Safety and Industrial Relations" - Via Mario Carucci, 99 - 00143 Rome.

Consent to the processing of personal data

Once the above information has been acquired, with the submission of the offer and / or the signing of the Contract, the legal representative pro tempore of the Competitor / bidder acknowledges and consents expressly to the treatment as defined above of the personal data concerning him.

The competitor undertakes to comply with the obligations of disclosure and consent, where necessary, to natural persons (Interested parties) for whom personal data are provided in the custody procedure, with regard to the processing of their personal data by of Consip SpA or of the Customer for the purposes described above

Yours sincerely,

Responsabile Area Acquisti Sotto Soglia
Divisione Sourcing Operation
(Dott. Massimo Tosques)

Annexes:

1. Bid declaration facsimile
2. Supplier Data sheet and declaration on the traceability of financial flows